

Chapter 11

Summary of Implemented Recommendations

1.0 MAIN POINTS

This chapter lists agencies that implemented recommendations from previous annual integrated audits or IT audit work with no other significant findings included as a chapter in this Report.

2.0 SUMMARY OF IMPLEMENTED RECOMMENDATIONS

The table below sets out, by agency, the recommendation as well as highlights key actions taken by the agency to implement its recommendation.

Past Recommendation (Initial PAS Report, Date of Agreement of PAC) ^A	Key Actions Taken During 2022–23 to Implement Recommendation
Saskatchewan Liquor and Gaming Authority	
We recommended the Saskatchewan Liquor and Gaming Authority follow its established policy for protecting credit card information it receives from liquor retailers and regulatory clients (e.g., for liquor purchases, permit fees). (<i>2022 Report – Volume 2</i> , p. 38, Recommendation 1, Public Accounts Committee agreement February 6, 2023)	<p>In June 2022, Saskatchewan Liquor and Gaming Authority changed its process for receiving credit card information. It provided access to 10 additional employees to enter credit card information received (e.g., via the phone) directly into the Authority's financial system. The financial system stores this information securely (i.e., Moneris Vault). Once stored, Authority staff can only see the last four digits of the credit card number. We confirmed Moneris Vault is compliant with payment card industry requirements. The Authority then uses credit card information entered to process ongoing customer payments.</p> <p>The Authority also provided additional training to staff on its credit card policy, reminding staff to promote receipt of credit card information via phone. If staff receive credit card information via email, they enter the information directly into the Authority's financial system and delete the email. All staff are annually required to confirm they reviewed the Authority's policies, including its credit card policy. We found for 2022–23, all staff, except one, had evidenced review of policies.</p>

^A PAS: Provincial Auditor of Saskatchewan
PAC: Standing Committee on Public Accounts

